

Pre-Conference Training Day

Sunday 4/7

8:00AM-9:00AM	CAMS Exam Preparation Seminar Check-in (Separate registration and related fees apply.)
8:00AM-7:00PM	<p>Main Conference Registration Desk Open</p> <p><i>Bags, Lanyards and Badges courtesy of AI Oasis</i></p>
8:00AM-9:00AM	Pre-Conference Workshop A Check-in (Separate registration and related fees apply.)
9:00AM-4:30PM	<p>CAMS Exam Preparation Seminar (Separate registration and related fees apply.)</p> <p>Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions.</p> <p>Instructor: Brian A. Smith, Chief Executive Officer, Financial Ridgelines, LLC</p> <p>To register for a live-preparation seminar please contact salesrequests@acams.org.</p>

9:00AM-12:00PM

WORKSHOP A - “One and Done” Is No Fun: Risk Management for Everyone, Every Day (Separate registration and related fees apply.)

Too often, risk assessments are seen as a one-and-done process. But taking a holistic approach turns a risk assessment into a living document that can and should influence every step of a financial product’s life cycle. This workshop will empower you to create, manage and speak authoritatively about your risk model to both internal teams and regulators – even if you contract with a third-party solution provider.

You will learn how to:

- Perform immediate, informal risk assessments for any situation
- Enrich your risk assessment with data for better documentation
- Embed effective risk management throughout the product life cycle

Who Should Attend

- Compliance Managers
- Investment Managers
- IT Specialists
- AML Officers
- Regulators
- FIU Team Members
- Industry Consultants

**Separate registration required. Please inquire with your Account Representative.*

Facilitators:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Patrick Dutton, Financial Crimes Strategic Intelligence Director, Financial Crime Risk Management, **Wells Fargo**

Robin Garrison, Vice President, Strategic Innovations Director of Compliance, **FirstBank**

Valeria Vitola, Managing Director, Global Independent Assessment Head for AML, Sanctions and AB&C, **Citigroup**

12:00PM-1:00PM

WORKSHOP B Check-in (Separate registration and related fees apply.)

WORKSHOP B - Where the Regulations Meet the Road: Operational Efficiencies for Your AML Program (Separate registration and related fees apply.)

No BSA officer ever failed at the job for not knowing the “legs and regs.” Failure involves not putting them into practice effectively. With the global costs of financial crime compliance projected to be \$274.1 billion, according to a 2022 Thomson Reuters report, the stakes are sky-high. And that doesn’t count the potential costs of non-compliance. Financial institutions need to ensure that all of these billions of dollars spent on AFC controls make a difference.

Unfortunately, compliance leaders tell ACAMS, there is a skill gap. For example, it’s easy to say “We need to perform KYC on all clients,” but what do you do with accounts when clients ignore requests to update their information?

In this interactive workshop, we will consider the three dimensions of people, process and technology to explore achieving effective compliance. We will review best practices in operationalizing AFC regulations and achieving efficiencies without compromising effectiveness.

You will learn how to:

- Be the change agent that enables your organization to execute AFC controls
- Use technology, both short term and long term, to achieve effective compliance
- Achieve efficiencies in implementing AFC regulations effectively
- Create repeatable feedback loops to develop and execute policies

Who Should Attend

- Compliance Officers
- MLRO / BSA Officers
- AML Professionals
- Consultants
- Risk Managers

**Separate registration required. Please inquire with your Account Representative.*

Facilitators:

Heather Allen Cody, CAMS, Deputy Director of Financial Crime, Senior Vice President, **Truist Financial Corp.**

Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, **ACAMS**

Jose Luis Stein, Regional Head of Financial Crime for Mexico and Latin America, **HSBC**

Craig Timm, U.S. Head of AML, **ACAMS**



5:00PM-5:30PM	ACAMS First-Time Attendee Orientation
5:30PM-7:00PM	Welcome Reception in South Palm Court hosted by Oracle

Day 1

Monday 4/8

8:00AM-4:50PM	Registration Desk Open <i>Bags, Lanyards and Badges courtesy of AI Oasis</i>
8:00AM-6:00PM	Exhibit Hall Open
8:00AM-9:00AM	Networking Continental Breakfast in Exhibit Hall
9:00AM-9:10AM	Welcome Remarks Neil Sternthal, Chief Executive Officer, ACAMS
9:10AM-9:35AM	Keynote Address with Q&A Moderator: Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS Presenter: Katrina W. Berger, Executive Associate Director, Homeland Security Investigations (HSI)

9:35AM-10:35AM

Regulatory Roundtable: What's Top of Mind for Top Regulators

A can't-miss session, the Regulatory Roundtable provides insights from top regulators about their priorities for the coming year. Hear directly from the agencies involved in implementing the rules with day-to-day impact on compliance professionals. Expect to learn more about the supervision of new and evolving products and services, common areas of concern across regulatory agencies, takeaways from recent enforcement actions, terror financing conduits countermeasures, and more.

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Lisa D. Arquette, CAMS, Deputy Director, Operational Risk, Division of Risk Management Supervision, **Federal Deposit Insurance Corporation (FDIC)**

Stacy Bogert, Associate Director of the Division of Enforcement, **U.S. Securities and Exchange Commission (SEC)**

Donna M. Murphy, Deputy Comptroller for Compliance Risk, **Office of the Comptroller of the Currency (OCC)**

Jay Song, Office Director, Office of Regulatory Policy, Policy Division, **Financial Crimes Enforcement Network (FinCEN)**

Suzanne Williams, Deputy Associate Director, **Federal Reserve Board**

10:35AM-10:45AM

Special Address

Guy Ficco, Chief, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

10:45AM-11:15AM

Networking and Refreshment Break in Exhibit Hall

10:45AM-11:05AM

Sanctions Space Live Podcast with Dr. Justine Walker

Elaine K. Dezenski, Senior Director and Head, **Center on Economic and Financial Power**

11:15AM-11:25AM

Global AFC Threats: Today's Challenges and Hazards on the Horizon

Howard R. Fields, CAMS, Executive Vice President, Chief Compliance Officer, **Mastercard**

11:25AM-12:05PM

Fireside Chat: Sanctions Expectations, Implementation, and Enforcement – A Transatlantic Perspective

*Will not be available for on-demand viewing
Our transatlantic fireside discussion will examine sanctions regulatory perspectives, including areas of convergence and commonality. It will explore current transatlantic enforcement priorities, sanctions evasion considerations and key trends where firms most often fail to meet their sanctions obligations.

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenters:

Lisa Palluconi, Deputy Director, Office of Foreign Assets Control, **U.S. Department of the Treasury**

Giles Thomson, Director, **Office for Financial Sanctions Implementation (OFSI) and Economic Crime**

12:05PM-1:20PM

Networking Luncheon

Unlocking Transnational Criminal Finance: Innovative Strategies for Detection & Prevention

In this session, join Debra Geister, VP of Compliance Solutions at Socure, and Josh Fruth, an authority in combating threats posed by transnational criminal organizations and terrorism financing, as they explore the intricate web of revenue generation and illicit fund flow within global criminal networks. With extensive, Josh brings invaluable expertise to the discussion. In this session, you'll hear first-hand insights and real-life stories from Josh's experience in law enforcement – including roles with the Drug Enforcement Administration, US and NATO Special Operations, Department of Commerce, and the National Counterterrorism Center – that shed light on hybrid threat finance spanning drug trafficking to cyber-crime.

Key takeaways include:

- Implementing dynamic KYC processes to mitigate risks
- Understanding potential FinCEN directives for proactive compliance
- Identifying synthetic mules to disrupt illicit transactions and enhance monitoring efforts

Presenters:

Debra Geister, Vice President of Compliance & Regulatory Solutions,
Socure

Joshua Fruth, Co-Founder, **Section 2**, Former Counter Threat Finance Head at NATO Special Operations

Pre-registration Required on Quantexa Sponsor Registration [site](#)

Breaking Anonymity with AI: Advanced Detection of Shell Companies, Beneficial Ownership, and Sanctions Circumvention through Contextual Monitoring

Sanctioned parties and organized criminal networks are growing more sophisticated every day. The use of Shell Companies, Phoenix operations and Mule Accounts has made risk detection and beneficial ownership more and more difficult. This has put an unnecessary burden on investigators to gather the data and make the connections. Contextual Monitoring of customers and counterparties combined with perpetual monitoring of customers in place of static periodic reviews allows the power of entity resolution and network analytics to open a new world of risk detection and investigations. The panel will also explore a real case and identify how technology combined with better data sources and focused typologies have changed the way we can detect and investigate this growing crime.

Moderator:

Chris Bagnall, Head of Mid-Sized Banking Solutions, **Quantexa, US**

Presenters:

Christian Bermudez, CAMS, Regional Head of Major Financial Crimes Investigations, **HSBC, US**

Clark Frogley, Head of Financial Crime and KYC Solutions, **Quantexa**

Peter Weitzman, Managing Director, Financial Services, **Capgemini**

Networking for Newly Minted AFC Compliance Professionals (1-3 years of experience)

New to AML? Time to get acquainted with those who share your status. This Connection Corner extends a welcoming hand to recent arrivals to the compliance community, from fresh graduates embarking on an AML career path to seasoned veterans making a mid-career move into fighting financial crime. Meet professional peers who are learning what you're learning, wondering what you're wondering – and looking to connect with others who are finding their footing in this dynamic and fast-paced field.

Facilitators:

Carrie E. Gilson, Senior Vice President, Director of Financial Intelligence Unit, **U.S. Bank**

Valerie-Leila Jaber, Global Head of Anti-Money Laundering, **Coinbase**

1:20PM-2:10PM

CASE STUDY – IRS-CI’s Top Cases for 2023: An In-Depth Analysis of Big Wins

A billion-dollar biofuel tax fraud scheme. A man is sentenced to eight years in prison for laundering over ten million in proceeds from romance scams and internet fraud. The mastermind of a billion-dollar crypto scam convicted along with his fugitive partner, the "Cryptoqueen." Join us for the inside story on these IRS-CI takedowns.

Moderator:

Guy Ficco, Chief, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

Presenters:

John Abram, Special Agent, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

Dan Fornash, Special Agent, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

Stephen Washburn, Special Agent, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

1:20PM-2:10PM

Cybersecurity Risk Management: Avoiding Compromising Situations

An old joke answers the question “why robbers target banks” by answering “because that’s where the money is.” Cybercriminals are no different. Cyberattacks regularly lead the list of what keeps financial institution executives up at night; this session provides solutions other than melatonin.

You will learn how to:

- Identify the latest threats in the financial and cybersecurity realm
- Analyze what the new US cybersecurity strategy means for financial institutions
- Comply with the growing regulatory emphasis on operational cyber resilience

Moderator:

Kara Suro, Managing Director, BSA/AML Program and Global Sanctions, **Charles Schwab**

Presenters:

Zacharia Baldwin, Supervisory Special Agent, **Federal Bureau of Investigation (FBI)**

Rachel Kaufman, Managing Director, Global Head SAR Program, **JPMorgan Chase & Co.**

Rebecca Ledingham, Global Vice President, Cybersecurity, **Mastercard**

AMA (ASK ME ANYTHING) - The Future of Crypto Regulation at the Federal Level

In contrast to Europe, where Markets in Crypto Assets (MiCA) serves as a comprehensive regulatory framework, the United States has lacked a coordinated federal approach to regulating crypto assets. Our panelists will update the state of the struggle between Congress, the SEC and CFTC, and courts to define AML obligations and other requirements for crypto exchanges, miners and other virtual asset service providers. They'll also examine the current stablecoin landscape, and look at whether court proceedings verify or undercut the regulation-by-enforcement approach that is applying securities law to many crypto transactions.

Moderator:

Joby Carpenter, Global SME, Cryptoassets and Illicit Finance, **ACAMS**

Presenters:

Caroline Hill, Senior Director of Global Policy and Regulatory Strategy, **Circle**

Shilpa Prasad Raina, Deputy Chief Compliance Officer, **Anchorage Digital**

Haimera Workie, Vice President and Head of Financial Innovation, **FINRA**

The Fourth Line of Defense: Whistleblowers and the New Cost of Non-Compliance

The Anti-Money Laundering (AML) Act and subsequent updates created a new FinCEN Whistleblower Program specifically to report Bank Secrecy Act violations. Today, the whistleblower provisions are an important consideration as part of BSA/AML and sanctions compliance.

You will learn how to:

- Identify the elements of BSA and sanctions violations covered under the AML Act Whistleblower Program
- Analyze US government priorities with the Whistleblower Program, including FinCEN's Office of the Whistleblower
- Assess the adequacy of internal reporting mechanisms and remediation measures in light of recent changes to the law

Moderator:

Kelly Cooper, CAMS, Executive Director, Global Head of KYC Policy and Advisory, **JPMorgan Chase & Co.**

Presenters:

Matthew Haslinger, Executive Vice President, Chief BSA/AML/OFAC Officer, **M&T Bank**

Kimberly Lacey, Chief AML Officer, **Key Bank**

Anand Sithian, Partner, **Crowell & Moring LLP**

1:20PM-2:10PM

DEEP DIVE – Fill in the Blanks: The Future of Automation in SAR Investigations and Beyond

While the automation of many compliance functions is officially encouraged by efforts such as FinCEN’s Innovation Initiative, implementation of AI or machine-learning models can be costly and require regulatory approval. In this session, hear from banks of varying sizes about how – and whether – to automate parts of the pre-investigation, investigation and SAR preparation process. More broadly speaking, how do institutions prioritize which processes use AI or machine learning versus rules-based decision making?

Moderator:

Tyler Reynolds, CAMS, Executive Director Enterprise Financial Crimes Compliance, BSA/AML Officer, **U.S. Bank**

Presenters:

Kathleen Finn Bell, CAMS, Director of Machine Learning, AML, **BMO Financial Group**

Heather Allen Cody, CAMS, Deputy Director of Financial Crime, Senior Vice President, **Truist Financial Corp.**

Jacob Denman, CAMS, Risk, Fraud & Compliance Product Manager, **Thomson Reuters**

1:20PM-2:10PM

The Big Question – the Future of Sanctions Compliance and CTF

Looking into 2024 and beyond, our panel of global leaders will examine the sanctions trajectory and its anticipated influence on the overall compliance landscape. We will offer an analysis on the state of play between the world economies and how evolving concepts of “national security” are shaping sanctions compliance expectations in terms of foreign investment, export controls, counter terrorism financing and the global risk landscape. The panel will conclude with a forward-leaning assessment of the top sanctions and CTF priorities for G7 allies and national parliaments, as well as examining how industry can best ensure readiness for future geopolitical, regulatory and legislative developments.

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenters:

Heather Epstein, Deputy Global Head Sanctions, **UBS**

Brian O’Toole, Global Head of Sanctions, **Wells Fargo**

John E. Smith, Co-head, **Morrison Foerster’s National Security Practice**

The Future of AML Starts Now: Expose Suspicion with Precision, Faster

The same financial crime challenges plaguing financial institutions for years. We are coping with high false-positive volumes and continually challenged with detecting suspicious activity. Our investigation teams are inundated with high-volume alerts and inconsistent, lengthy investigations. But there is an answer: technology advancements. With innovative technology, financial institutions can achieve efficiency and effectiveness when it comes to managing money laundering risks.

Find out exactly how modern technology can help reduce false positives, increase detection coverage, and manage investigation quality and speed. Leverage the proven capabilities available today to uncover previously undetected suspicious activity and mitigate risk.

• Learn how advances in technology can align with your organization’s financial crime program strategy

• Understand technology innovations and the respective benefits that they can deliver to your organization

• Gain insights on scale of technology adoption by industry participants, including its financial and operational value

Presenters:

Brad Corry, AML Director of Product Management, **NICE Actimize**

Adam McLaughlin, Head of AML Strategy & Marketing, AML, **NICE Actimize**

Networking and Refreshment Break in Exhibit Hall

Financial Crime Matters Live Podcast with Kieran Beer

Jennifer Shasky Calvery, Group Head of Financial Crime Risk and Compliance, **HSBC**

2:40PM-3:10PM

Networking for Caribbean and Latin American Professionals

Beautiful beaches and warm waters are pretty much the norm for attendees from offshore financial centers, so this networking session provides a friendly alternative to fun in the sun: Friendly socializing with your neighbors (figuratively speaking.) Catch up with old acquaintances – and make some new ones – at this assemblage of professional peers from the dynamic community of AML and compliance specialists hailing from the vibrant world of financial centers from the Caribbean and Latin America.

Facilitators:

Carolina Ceballos, Chief Compliance Officer, **Paxos**

Dr. Tanya McCartney, Chief Executive Officer and Executive Director,
Bahamas Financial Services Board

2:40PM-3:30PM

Fireside Chat: North and South, United: Cooperative Strategies for Battling US-Mexico Financial Crime Trends

Rising to become the United States' top trading partner in 2023, Mexico holds a strong position in the U.S. financial system. Unfortunately, as legal trade expands, so do the financial crime risks from illegal cross-border activities. In this session, top representatives from the public and private sectors in the U.S. and Mexico will help you identify and understand the top and emerging risks in cross-border financial crime, as well as the latest developments in new kinds of information sharing partnerships.

Moderator:

Paige Berges, Counsel, Anti-Corruption and International Risk Group,
Ropes and Gray

Presenters:

Brian S. Davis, CAMS, Director, Cross-Border Financial Crime Center,
Homeland Security Investigations (HSI)

Scott Rembrandt, Deputy Assistant Secretary, The Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**

Jose Luis Stein, Regional Head of Financial Crime for Mexico and Latin America, **HSBC**

2:40PM-3:30PM

DEEP DIVE - Rules of Engagement: Risk Management of Third-Party Relationships

Failing to properly manage risk in third-party relationships has multiple costs, and fines are just one of them. This session will help you avoid other consequences, such as reputational damage, as well.

You will learn how to:

- Analyze the risks associated with various types of third-party relationships
- Maintain complete inventories of third-party providers and regularly update their risk assessments
- Identify the third-party relationships that support higher-risk activities and adjust those risk assessments accordingly

Moderator:

Jas Randhawa, Founder and Managing Partner, **StrategyBRIX**

Presenters:

Robin Garrison, Vice President, Strategic Innovations Director of Compliance, **FirstBank**

Lukayn Hunsicker, Global Head, New Product Innovation Strategy, **Moody's Analytics**

Anthony Rodriguez, Chief Compliance Officer, **Corpay**

2:40PM-3:10PM

ACAMS TALK - Get Your Head in the Cloud: A Down-to-Earth Talk for Execs About Tech and Product Transformation

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

In this ACAMS Talk, hear about the value of cloud technology, explained in down-to-earth language. What are the benefits of this kind of technology and product transformation, and what do you do as a leader when a team inevitably "stubs its toe" when executing it?

Presenter:

Peter Bucka, Senior Director, Financial Crimes Technology, **U.S. Bank**

2:40PM-3:30PM

First Things First: Parsing the National Priorities

When they were released in 2021, FinCEN's first set of national AML/CFT priorities set off a flurry of questions about implementation. Financial institutions hoped that the bureau's recent Notice of Proposed Rulemaking would provide greater clarity. Our panel will dissect the NPRM and discuss what has been clarified and whether any implementation issues remain. This session will also cover how to decide which priorities your institution should focus on and how to work with law enforcement in addressing them.

Moderator:

Megan Hodge, Executive Compliance Director, BSA/AML Officer, **Ally**

Presenters:

Kelly Cooper, CAMS, Executive Director, Global Head of KYC Policy and Advisory, **JPMorgan Chase & Co.**

Sandra Garcia, Director, National Security Threats and Trends Team, The Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

2:40PM-3:30PM

AMA (ASK ME ANYTHING) - Too Much Information?: Threats to SAR Secrecy and Safe Harbor Provisions

Confidentiality and "safe harbor" are supposed to underpin the Suspicious Activity Report filing process. But high-profile SARs have been made public in news reports, Congressional hearings and in court proceedings. What can banks do to ensure their own safe harbor protections?

You will learn how to:

- Identify the lessons learned from recent public SAR disclosures
- Assess both the value of filing "informational SARs" and the risks involved
- Cast the appropriate net when working with project-based or typology-based SARs

Moderator/Presenter:

Alison Jimenez, CAMS, President, **Dynamic Securities Analytics, Inc.**

Presenters:

Rob DeCampos, CAMS, Chief Compliance Officer, **Western Union**

Michael Greenman, Chief Counsel, Financial Crimes Legal, **U.S. Bank**

2:40PM-3:30PM

DEEP DIVE - Instant Payments and Sanctions Screening in 2024: Business as Usual or a new RBA?

Get up to speed on how instant payment networks operate, managing high-volume payment intermediaries, implications of ISO20022, and what it all means for the business strategy and sanctions screening response. Our panel will examine how sanctions programs are continuing to increase in complexity and the resulting implications for ensuring the robustness of screening processes. We will scrutinize how the extent of high velocity instant payments, along with increasing values and volumes of such payments, are impacting risk-based thinking in determining which instant payments should be screened. We will explore opportunities for improving data quality and completeness, lessons in how to reduce false positives and avoid excessive backlogs, plus horizon scanning on how sanctions screening teams are leaning into technology solutions.

Moderator:

Sam Cousins, CGSS, Senior Associate - Sanctions, Ransomware and Risk, **ACAMS**

Presenters:

Andrew Jensen, Managing Director and Global Head of Sanctions, **TD Bank**

Adam McLaughlin, Head of Strategy & Marketing, AML, **NICE Actimize**

Francisco Rapp, Chief Sanctions Officer, **Citi**

2:40PM-3:30PM

Presented by Verafin

Challenge the Status Quo for AML: Stop Financial Crime and Reduce Costs

Financial crime continues to be a global epidemic with \$3.1 trillion in money laundering and illicit flows moving through the global financial system in 2023. To manage, financial institutions increased operational costs and headcounts by 75% in just the past year. Financial institutions are the front-line defense against these growing risks but struggle to keep pace with compliance while managing the costs of their crime-fighting efforts. Join us during our industry panel as we discuss how we can improve the efficiency of AML investigations, solve new AML problems, and help institutions avoid growing costs.

Presenters:

Patrick Dutton, Financial Crimes Strategic Intelligence Director, Financial Crime Risk Management, **Wells Fargo**

Fouad Hassouneh, Head of AML Product Strategy, **Verafin**

Bill Heys, Head of AML Product Marketing, **Verafin**

3:30PM-3:50PM	<p>Sanctions Space Live Podcast with Dr. Justine Walker</p> <p>Giles Thomson, Director, Office for Financial Sanctions Implementation (OFSI) and Economic Crime</p> <hr/>
3:30PM-4:00PM	<p>Networking and Refreshment Break in Exhibit Hall</p> <hr/>
3:30PM-4:30PM	<p>Chapter Board Member Networking Reception</p> <hr/>
4:00PM-4:10PM	<p>Solar Eclipse Viewing (Capacity is limited to 200 in the Great Hall 1-2 Terrace and will be first come, first serve)</p> <hr/>
4:10PM-4:40PM	<p>Networking for Risk Professionals</p> <p>Of course there's no such thing as a risk-free environment, but this networking time is as close as it comes. Our expert facilitators will guide the conversation in a smaller, more relaxed setting. You can ask questions and share insights with your professional peers – because career growth comes from both what you know and whom you know.</p> <p>Facilitators: Jason Chorlins, Principal – Risk Advisory Services, Kaufman Rossin & Co.</p> <p>Hue Dang, CAMS-Audit, Global Head of New Ventures, ACAMS</p> <hr/>

DEEP DIVE - Do You Speak Subpoena? Creating a Common Language for Law Enforcement and Financial Institutions

Financial investigations rely significantly on third-party records, mainly from financial institutions. Transaction monitoring information and well-written SARs provided by AML departments are often effective and efficient, but law enforcement representatives often struggle to achieve the same results through subpoena requests. Financial institutions hold records and data that can significantly aid an investigation, but law enforcement officers often don't know what exists or how to ask for it. In addition, financial institutions may have varying data and data definitions. Tackling this challenge can help ensure that complete and comprehensive subpoena records are produced to help law enforcement build their case.

This session will cover perspectives and pain points from the points of view of both financial institutions and law enforcement. The focus will be on what is needed for successful prosecution and how law enforcement and financial institutions can work together. Key objectives for success include standardization, translation and collaboration. As AMLA and new data standards are enacted, it's a great time for both sides to tackle this problem together.

Presenters:

Matt Hines, Director of Subpoena Intake & Fulfillment, **Capital One**

Jennifer Neese, Special Agent, Senior Analyst, **Internal Revenue Service - Criminal Investigation (IRS-CI)**

4:10PM-5:00PM

Southern Exposure: Risk Management Issues in Latin America and the Caribbean

Designed especially for institutions with interests in Latin America and the Caribbean, this session will focus on management of risks especially relevant to that region. Topics covered will include environmental crime risks in the Amazon basin, risk management of financial products associated with criminal activity in areas of political instability, sanctions compliance challenges specific to the region, the evolving regulatory environment and more.

Moderator:

Nicholas Schumann, US Head of Financial Crime Program, Framework & Engagement, **HSBC**

Presenters:

Ruth Helena Alves da Mota, Customer Learning Manager, **LSEG Risk Intelligence**

Juan Carlos Ariza, Chief Compliance Officer, **Banco Davivienda International (Miami)**

Sandra Edun-Watler, CAMS, Director, **SynRgy AML Services**

Keniel Ledgister, Attaché, Caribbean Region, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

4:10PM-5:00PM

DEBATE - The Great Crypto Debate: Can Privacy-Protected Transactions Have a Role in the Legitimate Financial System?

Identity obfuscation using blockchain technology is one of cornerstones of illicit crypto transactions. But should the U.S. actively encourage blockchain applications as a force for good, enabling greater data privacy and security for legitimate users? For the first time at The Assembly Hollywood, hear a debate featuring two experts who argue their point of view and seek to win your vote. One topic. Two opposing opinions. Who will get your vote?

Moderator:

Colby Adams, Managing Editor, **ACAMS moneylaundering.com**

Presenters:

Nagib Touma, Managing Director, Head of AML Compliance Risk Management, **Citi - US Personal Bank (USPB)**

David Zacks, Senior Director, Compliance, **Kraken Digital Asset Exchange**

Making Connections: The AFC Challenge of Geopolitics and Terrorism

In 2023, the threat of terrorism became more fragmented and diverse than at any time since 9/11. Consequently, the threat environment became more unpredictable for intelligence analysts and AFC professionals. One of the primary catalysts for such fragmentation and diversification has been the nexus between geopolitics and terrorism. This convergence manifested itself with the horrific terrorist attack by Hamas on Israel on Oct. 7, 2023, and the ensuing Israeli response against Hamas in Gaza. What began as a regional conflict had immediate global implications, magnifying the dangerous nexus between geopolitics and terrorism.

This compelling session will focus on the threat and counterterrorism ramifications that will continue to unfold into and well beyond 2024. The discussion will also highlight how the recent National Money Laundering Risk Assessment addresses countering the financing of terrorism.

You will learn how to:

- Identify the geopolitical factors that present opportunities for terrorists and transnational criminals
- Recognize changes in terrorist financing trends, including the techniques used by Hamas, Hezbollah and racially and ethnically motivated extremists, and how public-private partnerships can combat them
- Promote humanitarian assistance while preventing the misuse of funds for terrorist activities

Moderator:

Dennis M. Lormel, CAMS, President and Chief Executive Officer, **DML Associates**

Presenters:

Marcy Forman, CAMS, CGSS, Head of High Risk Investigation, **TD Bank**

Eliza Odom, Section Chief, Counterterrorism Division, **Federal Bureau of Investigation (FBI)**

Leyla Salehzadeh, Policy Advisor, The Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**

Compliance in Innovation Nations: AML/AFC Issues Around Digital Assets in Latin America

High inflation, official openness and a high volume of remittances from abroad make Latin America a receptive market for digital assets, including cryptocurrencies. For this reason, compliance officers in the region need to be especially well-informed about the pitfalls and potentials of this asset class.

You will learn how to:

- Distinguish between the AML risks of cryptocurrencies, stablecoins and central bank digital currencies
- Tailor risk assessments for the different types of virtual asset service providers
- Implement best AML practices for dealing with payment intermediaries

Moderator:

Rick McDonell, Former Executive Secretary, Financial Action Task Force **(FATF)**, Executive Director, **ACAMS**

Presenters:

Carolina Ceballos, Chief Compliance Officer, **Paxos**

Valerie-Leila Jaber, Global Head of Anti-Money Laundering, **Coinbase**

Alona Katz, Chief, Virtual Currency Unit, **Brooklyn District Attorney's Office**

Valeria Vitola, Managing Director, Global Independent Assessment Head for AML, Sanctions and AB&C, **Citigroup**

4:10PM–5:00PM

Gold and Diamond Links in the Sanctions Chain

The link between natural resources, sanctioned entities and illicit actors is yet again in the spotlight. With a desire to increase the bite of Russian targeted sanctions, the US, UK, EU and others have embarked on a steep escalation of global designations and bans for those connected to the gold and diamond sectors. Included within this is the sanctioning of the world's largest diamond mining company. With more sanctions expected, the bite is only expected to grow deeper. Our panel will set out how sanction-linked individuals and companies participate in these industries, how they generate and move funds internationally, what it means for global supply chain controls and due diligence expectations. We will explore the latest government advisories, displacement effects from key designations, and the numerous risks that are directly and indirectly connected to these global industries.

Moderator:Justyna Gudowska, Senior Advisor, **The Sentry****Presenters:**Brad Brooks-Rubin, Senior Advisor, **U.S. Department of State**Christian Honeywood, Director, Financial Intelligence Unit, **BNY Mellon**Sara Yood, Deputy General Counsel, **Jewelers Vigilance Committee**

5:00PM–6:15PM

Networking Reception in Exhibit Hall

5:15PM–5:45PM

Financial Crime Matters Live Podcast with Kieran Beer

Eliza Odom, Section Chief, Counterterrorism Division, **Federal Bureau of Investigation (FBI)**

Day 2

Tuesday 4/9

7:45AM-9:00AM	<p>AFC Academy Breakfast: A Proactive and Scalable Approach to AFC Readiness *Invite only, pre-registration required</p> <p>Discover how the ACAMS AFC Academy can elevate your AFC program. Hear from the ACAMS CEO, learn about top AFC threats and examples of AFC Academy case studies over breakfast with peers.</p> <p>Seating is limited to those who have pre-registered from email invitation.</p> <p>Presenters: Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, ACAMS</p> <p>Elena Endara, Global Account Manager, ACAMS</p> <p>Neil Sternthal, Chief Executive Officer, ACAMS</p> <p>Craig Timm, U.S. Head of AML, ACAMS</p> <p>Meaghan Wood, Director of Business Development, ACAMS</p>
8:00AM-5:00PM	<p>Registration Desk Open</p> <p><i>Bags, Lanyards and Badges courtesy of AI Oasis</i></p>
8:00AM-5:00PM	<p>Exhibit Hall Open</p>
8:00AM-9:00AM	<p>Networking Continental Breakfast in Exhibit Hall</p>
8:30AM-9:00AM	<p>Sanctions Space Live Podcast with Dr. Justine Walker</p> <p>Matthew S. Axelrod, Assistant Secretary for Export Enforcement, Bureau of Industry and Security, U.S. Department of Commerce</p>
9:00AM-9:05AM	<p>Opening Remarks</p> <p>Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS</p>

9:05AM-9:35AM	<p>Keynote Address with Q&A</p> <p>Molly Moeser, Acting Chief, Money Laundering and Asset Recovery Section (MLARS), Criminal Division, U.S. Department of Justice</p> <p>Moderator: Craig Timm, U.S. Head of AML, ACAMS</p> <hr/>
9:35AM-10:25AM	<p>Special Presentation: Former FinCEN Directors</p> <p>Moderator: Craig Timm, U.S. Head of AML, ACAMS</p> <p>Presenters: Kenneth A. Blanco, □Former Chief Compliance Officer, Financial Crimes Unit, Citigroup Inc. (FinCEN Director November 2017 – April 2021)</p> <p>William J. Fox, Managing Director, Global Head of Financial Crimes Risk, Bank of America (FinCEN Director December 2003 – February 2006)</p> <p>Jennifer Shasky Calvery, Group Head of Financial Crime Risk and Compliance, HSBC (FinCEN Director September 2012 – May 2016)</p> <hr/>
10:25AM-10:55AM	<p>Financial Crime Matters Live Podcast with Kieran Beer</p> <p>Molly Moeser, Acting Chief, Money Laundering and Asset Recovery Section (MLARS), Criminal Division, U.S. Department of Justice</p> <hr/>
10:25AM-10:55AM	<p>Networking and Refreshment Break in Exhibit Hall</p> <hr/>

10:55AM-11:35AM**Lightning Round: Implementation Status of AMLA, Its Key Provisions and Other Regulatory Issues**

Inspired by ESPN's Pardon the Interruption, keep up with our experts as they dive into a fast-paced, dynamic discussion covering various regulatory topics without skipping a beat.

The Anti-Money Laundering Act of 2020 contains several objectives with the potential to significantly alter the compliance landscape for BSA officers. Most notable are the requirement for institutions to incorporate national priorities into their AML program, potential changes to the beneficial ownership requirements under the Corporate Transparency Act, and invigorating BSA whistleblower provisions. This session will look at how AMLA has been implemented so far and what changes are still on the horizon.

Presenters:

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, **PNC**

11:35AM-12:15PM**Special Presentation: Riding the Tide of Export Controls – a New Wave****Moderator:**

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenter:

Matthew S. Axelrod, Assistant Secretary for Export Enforcement, Bureau of Industry and Security, **U.S. Department of Commerce**

12:15PM-1:30PM**Networking Luncheon**

12:15PM-1:30PM

AFC Risk Assessment Lunch & Learn (by invitation only) *Invite only, pre-registration required.

Invitation-only lunch and learn session covering the importance of building a robust risk-based AML Risk Assessment program to help your institution effectively measure and mitigate risk and objectively respond to guidance and regulatory requirements.

You will also see the latest on how the ACAMS Risk Assessment™ and the Mastercard ACAMS Risk Assessment AML software solutions help financial institutions assess their money laundering risk.

Seating is limited to those who have pre-registered from email invitation.

Presenters:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Jordan McCumbee, Business Development Manager – US East, **ACAMS**

Tanya Montoya, Director – Product & Technology, **ACAMS**

Guest Speaker:

Silvia Lawlor, Director – Product Development, **Mastercard**

Revolutionary Approach to AML/CFT in Cross-Border Payments

In the rapidly evolving landscape of global finance, the battle against financial crime in cross-border transactions demands not only vigilance but innovation. Payall is a breakthrough software that uniquely automates end-to-end risk, compliance and other rules and activities as well as orchestrates and harmonizes 3rd parties.

This Knowledge Lunch Session features software that enables unprecedented efficiency, safety and controls in the execution of risk, compliance and operating procedures for cross-border payments and international money transfers. See first-ever, real-time purpose-built software to:

- Automate all risk, compliance and operating rules
- Digitally engage with disbursers, recipients, or others to collect data, documents and artifacts
- Orchestrate and harmonize 3rd parties like Trulio, Thomson Reuters, Lexis Nexis
- Deliver “see-through” to disburse KYC details
- See all data and artifacts for real-time KYT and intervention
- Perform multiple deployment options, including white-label UX/UIs for customers

Presenters:

Julia Marina, Chief Compliance Officer & General Counsel, **Payall Payment Systems**

Gary Palmer, Chairman, CEO and Founder, **Payall Payment Systems**

1:30PM-2:20PM

DEEP DIVE - How Financial Professionals Like You Can Help Combat Child Sexual Exploitation

Since the first FinCEN alert about child sexual exploitation online was issued in 2021, awareness of the offense has grown, but child sexual exploitation remains one of the most difficult crimes to investigate. But difficult doesn't mean impossible. This deep dive will give you practical tools to become part of the solution as a financial professional. Join the fight.

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis, **ACAMS**

Presenters:

Christopher Kemp, Director of Enterprise Operations, **Anti-Human Trafficking Intelligence Initiative (ATII)**

Adam Levine, Director of Government Engagement, **Child Rescue Coalition, Inc.**

Karim Rajwani, CAMS, **AFC Expert**

1:30PM-2:20PM

DEEP DIVE - Onshore Institutions in an Offshore World: Managing Risks in Administering Funds Abroad

Even before the imposition of sanctions on Russia, investigations that exposed kleptocrats' abuse of offshore funds made headlines around the world. Drawing on real-life examples, this session will provide practical AML/AFC guidance for institutions with risk exposure to offshore partners.

You will learn how to:

- Manage indirect investor risk in regulated and unregulated funds based on the various roles banks play in fund administration
- Navigate sanctions risks posed by fund administration, especially when the fund and service provider are in different jurisdictions
- Clarify roles and responsibilities in situations where the fund has delegated AML and sanctions responsibilities back to the service provider

Moderator:

David Clark, CAMS, Senior Financial Crime SME and Change Manager Group Compliance, **ING**

Presenters:

Kerri Bent, Americas Head of Sanctions, **UBS**

Rachel Fiorill, Partner, National Security/Sanctions, **Morrison Foerster**

Jeff Kruszewski, Vice President, Assistant General Counsel, **U.S. Bank**

1:30PM-2:20PM

AMA (ASK ME ANYTHING) - Dialing Down the AI Hype Machine

Like the “gluten-free” label on foods that never contained wheat, “AI” has become a new business buzzword, newly applied to what seems like every technology application. But what is AI, exactly? How does it differ from machine learning – and do these definitions matter? What are the compliance implications of using AI in financial services? Bring these questions and more to our Ask the Experts session; they’ll have the answers.

Moderator/ Presenter:

Scott Nathan, Global Head of AML Risk Detection and Customer Insights, **Citi**

Presenters:

Mateo Arbelaez, Vice President, Global Compliance, **Mastercard**

Kathleen Finn Bell, CAMS, Director of Machine Learning, AML, **BMO Financial Group**

Jason Shane, Head of Strategy and Innovation, Financial Services, **SymphonyAI**

1:30PM-2:20PM

DEEP DIVE - Suspicious Transaction Monitoring: New Ways to Cut Through the Noise

Reducing the number of false positive alerts is one of the biggest challenges facing financial intelligence units. This session will present new ways of solving this problem to focus resources on where they will make a difference.

You will learn how to:

- Use existing data to identify higher priority events and automatically escalate them for Level 2 review
- Explore “hibernation” concepts for lower-priority events
- Predict higher-priority events using feature engineering and analytical techniques
- Improve TM detection by leveraging investigative knowledge and experience

Moderator/Presenter:

Carrie E. Gilson, Senior Vice President, Director of Financial Intelligence Unit, **U.S. Bank**

Presenters:

Nick Annan, Senior Vice President/Director, Financial Intelligence Unit and Corporate Investigations, **Truist Financial Corp.**

Frank P. Calestino, Senior Vice President, Financial Crime Analytics (FCA), Global Financial Crimes, **Bank of America**

1:30PM–2:20PM

Insider Information: Coaching and Mentoring Within Your Organization

This all-female panel will provide ideas for using your company's internal resources to help develop your team's knowledge and skills – including underutilized sources you may not have considered. Come prepared to share what has worked in your workplace as well as to ask questions about overcoming common obstacles.

Moderator:

Carolina Ceballos, Chief Compliance Officer, **Paxos**

Presenters:

Jill Adams, Strategic Engagement Lead, Financial Crimes Section, **Federal Bureau of Investigation (FBI)**

Siobhain Ivers, Director, Global Compliance, **Etsy**

Rachel Kaufman, Managing Director, Global Head SAR Program, **JPMorgan Chase & Co.**

Dr. Tanya McCartney, President and Chief Executive Officer, **GEM Management and Advisory Services Limited**

1:30PM–2:20PM

Blocking – a Straightforward Concept, or Maybe Not?

Preventing an individual or entity from gaining access to property or other assets is a fundamental pillar of sanctions compliance. But what does 'blocking' in 2024 really entail, and how has it evolved in scope and scale of complexity in respect to beneficial ownership considerations? Our panel of practitioners examine current approaches to effectively identifying, locating and determining what should be blocked. We unpack the key challenges encountered, common misconceptions, lessons from recent enforcement actions, and ensuring the robustness of internal controls, management reporting frameworks and the navigation of cross border regulatory obligations.

Moderator:

Paige Berges, Counsel, Anti-Corruption and International Risk Group, **Ropes and Gray**

Presenters:

Heather Epstein, Deputy Global Head Sanctions, **UBS**

Francisco Rapp, Chief Sanctions Officer, **Citi**

Ilya Shulman, Executive Director, Global Financial Crimes Counsel, Head of Sanctions Legal, **JPMorgan Chase & Co.**

2:20PM–2:50PM

Networking and Refreshment Break in Exhibit Hall

2:50PM-3:40PM

Networking for Women in AFC – Hosted by Socure

A compliance career requires grit, ambition and intelligence to get ahead. And women leaders in the AFC field may face a unique set of challenges such as managing work/life balances, corporate cultures that might view assertive female leaders negatively, and difficulty in finding supportive mentors and peers. Join us at this informal networking session, where the only agenda is to meet like-minded colleagues, discuss common career hurdles for women in AFC and, of course, strategies for overcoming them.

Facilitators:

Mariah Gause, CAMS, Chief Operating Officer, **ACAMS**

Debra Geister, Vice President of Compliance & Regulatory Solutions,
Socure

Jennifer Neese, Special Agent, Senior Analyst, **Internal Revenue Service-Criminal Investigation (IRS-CI)**

Lisa Wheeler, Managing Director, Global Head of GFCC for the Corporate & Investment Bank (CIB) and Commercial Bank (CB), **JPMorgan Chase & Co.**

OPEN FISHBOWL - The Friction Over Fraud Prevention: Balancing the Customer Experience with Crime Deterrence

For this “fishbowl” session, audience members who raise their hand, figuratively speaking, beforehand will be invited to take a guest turn on stage and share their take on the topic. Step up – literally – and help lead this thought-provoking discussion, made richer by your participation.

Preventing all bank fraud would be easy enough, if only it didn’t involve getting rid of all your customers. This session will show a middle way, balancing customer experience considerations with fraud prevention and detection.

You will learn how to:

- Determine the desired ratio of account profitability versus potential cost of fraud
- Work with your cybersecurity team to understand and align identity verification and authentication strategies
- Analyze fraud complaints using AI so that root causes can be determined and addressed

Moderator:

Brian Borawski, CAMS, Senior Vice President, BSA/AML Compliance, **M&T Bank**

Presenters:

Saurabh Bajaj, Chief Product Officer, **Oscilar**

Ana Davila, Head of Financial Crime Intelligence Investigations, **Stripe**

Kara Suro, Managing Director, BSA/AML Program and Global Sanctions, **Charles Schwab**

OPEN FISHBOWL – A Powerful Process: Risk Assessments as a Business Enabler

For this “fishbowl” session, audience members who raise their hand, figuratively speaking, beforehand will be invited to take a guest turn on stage and share their take on the topic. Step up – literally – and help lead this thought-provoking discussion, made richer by your participation.

When viewed through the correct lens, a risk assessment is the process by which a financial institution can increase its capacity to take on or manage risk through an efficient allocation of resources. A good risk assessment is the cornerstone of a good overall business strategy.

You will learn how to:

- Identify challenges to embracing risk assessments as a critical part of an institution’s fiduciary responsibility
- Evaluate the impact of recent enforcement actions that highlight risk-assessment deficiencies
- Assess evolving regulatory developments, including the status of FinCEN’s plan to make risk assessments a legal requirement and recent guidance from the UK’s Financial Conduct Authority and the European Banking Authority

Moderator:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Presenters:

Patrick Dutton, Financial Crimes Strategic Intelligence Director, Financial Crime Risk Management, **Wells Fargo**

Will Monk, Chief Product Officer, AFC Expert, **Napier AI**

Jas Randhawa, Founder and Managing Partner, **StrategyBRIX**

MINI WORKSHOP - It's Complicated: Relationship Issues Between Banks and their Non-Bank Partners

A shorter version of our hands-on, multi-hour instructional sessions, mini workshops pack an interactive learning experience into just 50 minutes. After 25 minutes of guidance from our expert panelists, you'll confer with tablemates and then share takeaways with the entire group. In addition to gaining insights from our top-notch speakers, participants also benefit from the collective wisdom and experience of other attendees.

At first, it seems like a perfect match: Banking as a Service (BaaS) providers with their stable deposits, liquidity and fees, and traditional banks perpetually in search of all three. What's not to love? As it turns out, day-to-day realities pose significant challenges to sustaining a satisfying relationship.

You will learn how to:

- Identify the AML obligations and regulatory expectations in BaaS partnerships and determine who is responsible for meeting them
- Address third-party data sharing challenges to remain compliant while reducing client impact
- Implement specialized screening and monitoring techniques to mitigate risks when there are multiple, nested layers of counterparties

Moderator:

Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, **ACAMS**

Presenters:

Marc Fungard, Head of FinCrime, **Stripe**

Siobhain Ivers, Director, Global Compliance, **Etsy**

Sophie Moser, SVP, Director of Financial Crimes Risk Management, **U.S. Bank**

Scott Nathan, Global Head of AML Risk Detection and Customer Insights, **Citi**

CASE STUDY - Immoral Support: How Facilitators Help Transnational Criminals Exploit the Financial & Trade System

Those who provide support for transnational criminal organizations are a potential point of vulnerability for law enforcement, but unmasking their activities is often challenging.

You will learn how to:

- Evaluate the vulnerabilities inherent in the formation of legal entities and legal arrangements
- Identify roles and responsibilities that attorneys, accountants and formation agents may have in managing money laundering risk
- Anticipate the effects of FinCEN changes to beneficial ownership and the potential impact on facilitators

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis, **ACAMS**

Presenters:

Lakshmi Kumar, Senior Policy Advisor, Financial Transparency and Regulatory Policy Team, The Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**

Daniel Ryan, Intelligence Analyst, **Federal Bureau of Investigation (FBI)**

Samantha Sultoon, Senior Financial Crimes Director, Global Sanctions and Anti-Bribery/Corruption, **Truist Financial Corp.**

2:50PM-3:40PM

Sharing From Within Silos: Increasing Information Sharing and Collaboration to Further AML/AFC Goals

Unless you're a grain farmer, silos have come to have a negative connotation. But there are organizational benefits to having teams work in ways that allow them to focus on their areas of expertise. Is it possible to retain the benefits of silos while allowing participants to share their insights with the broader institution? Find out in this panel, where representatives from varied business areas will talk about how to increase information sharing and collaboration to further AML/AFC goals.

Moderator:

Vasilios P. Chrisos, CAMS, Advisory Board, **ACAMS**

Presenters:

Nick Annan, Senior Vice President/Director, Financial Intelligence Unit and Corporate Investigations, **Truist Financial Corp.**

Peter Bucka, Senior Director, Financial Crimes Technology, **U.S. Bank**

Megan Hodge, Executive Compliance Director, BSA/AML Officer, **Ally**

Stevenson Munro, CAMS, Managing Director/Global Head - Economic Sanctions Compliance, **Standard Chartered Bank**

Carolina Spalding Arellano, Head of Global Financial Crimes Policy Adherence and Engagement, **Citi**

2:50PM-3:40PM

Sanctions, AML, & Export Controls: Taking Stock, Staking Out Priorities, and Paving the Way Forward

The US government and its coalition partners have turned up the dial on Russia- and Belarus-focused strategic trade and export controls, including dual use/battlefield goods. Increasing expectations will necessitate new synergies between how AML, sanctions and export control teams work together. Our public -private practitioner panel will examine the extent that banks and others are becoming the eyes and ears on Russia sanctions and export control compliance. We will explore what is possible, what is effective and where we are seeing the greatest successes in utilizing financial compliance tools to identify and report the suspected evasion of trade controls on Russia.

Moderator:

Melissa Duffy, Partner, Trade & National Security, **Fenwick & West LLP**

Presenters:

Richard Dunmall, Head of Sanctions – EMEA, **Sumitomo Mitsui Banking Corporation**

Stephanie Liantonio, Americas Head of Sanctions & Embargoes, Anti-Financial Crime – Sanctions & Embargoes, **Deutsche Bank**

Howard Mendelsohn, Chief Client Officer, **Kharon**

Brian O'Toole, Global Head of Sanctions, **Wells Fargo**

3:40PM-4:10PM

Networking and Refreshment Break in Exhibit Hall

4:10PM-4:40PM

Networking Groups – Networking for Chapter Members

Make your professional network deeper and broader at this networking event for ACAMS chapter members. Forge new connections as you mix and mingle with compliance peers across companies, industries and geographic boundaries – or get to know members from your own region better. Board members from a variety of chapters will help get the conversations started, but there's no agenda other than making new contacts to help you in your AML career.

Facilitators:

John Clary, Co-Chair Central Florida Chapter, Division Lead – Governance, Risk and Compliance, **TEKsystems**

Nicholas Schumann, Co-Chair of South Florida Chapter, US Head of Financial Crime Program, Framework & Engagement, **HSBC**

4:10PM-4:40PM

ACAMS TALK - For Your Eyes Only: Managing Data Privacy

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

Growing global concerns about data privacy have implications for data managers, financial crime compliance and enterprise risk managers. In this session, learn about data scraping, an under-the-radar approach taken by commercial and private threat actors, the risk it poses, and how companies should combat this.

Presenter:

Oded Anderman, Program Manager, Privacy, Anti-Scraping, Threat Intelligence, **META**

4:10PM-5:00PM

Going After Snakes, not Mules: New Approaches to Fighting Fraud

Fighting fraud is too often like a proverbial game of Whack-a-Mole, where new versions of old scams pop up faster than financial institutions or law enforcement can respond. But what if the approach were to go after the head of the snake instead of the low-level facilitators like money mules? Panelists from law enforcement and the private sector will discuss new ways of tackling fraud. Topics will include forging public-private partnerships to attack the problem from a broader perspective; using Sections 314(a) and 314(b) of the USA PATRIOT Act to go after the heads of criminal fraud enterprises; and thinking creatively about ways to work with communities to reduce fraud beyond arrests and prosecutions.

Moderator:

Joby Carpenter, Global SME, Cryptoassets and Illicit Finance, **ACAMS**

Presenters:

Jill Adams, Strategic Engagement Lead, Financial Crimes Section, **Federal Bureau of Investigation (FBI)**

David Szuchman, Senior Vice President, Head of Global Financial Crime and Customer Protection, **PayPal**

Richard Weber, Partner, **Winston & Strawn LLP**

The ABCs of Information Sharing Using 314(b)s

Section 314(b) of the USA PATRIOT Act allows financial institutions to share information with one another to identify suspected terrorist or money laundering activities, but it is widely viewed as under-utilized. This session will help overcome some commonly identified barriers to participation and challenges in implementation.

You will learn how to:

- Develop a 314(b) program that helps the institution meet the legal requirements for information sharing, develop policies and procedures, ensure adequate resourcing, and develop metrics to measure and assess the effectiveness and efficiency of the program
- Make quality requests for information and responses with the necessary context and specifics about the activity that help both institutions better identify and mitigate financial crime risk
- Address the common challenges that many institutions face when designing and executing a 314(b)-information sharing program

Moderator:

Alison Jimenez, CAMS, President, **Dynamic Securities Analytics, Inc.**

Presenters:

Kimberly Lacey, Chief AML Officer, **Key Bank**

Stevenson Munro, CAMS, Managing Director/Global Head - Economic Sanctions Compliance, **Standard Chartered Bank**

Clay Roberts, CAMS, Deputy Global Head, Financial Crimes Compliance, BSA Officer, **Western Union**

4:10PM-5:00PM

Eyes on the Islands: A Caribbean Roundup

Few places are as affected by events in neighboring nations as the countries of the Caribbean. Representing varied countries and institutions, our panelists will offer their insights on the region's biggest issues, including the recent round of FATF evaluations; likely effects on Caribbean financial institutions of the United States' Corporate Transparency Act; de-risking; and regional consequences of political instability in Haiti, including human trafficking, modern slavery and gun running.

Moderator/Presenter:

Dr. Tanya McCartney, President and Chief Executive Officer, **GEM Management and Advisory Services Limited**

Presenters:

Sandra Edun-Watler, CAMS, Director, **SynRgy AML Services**

Young Lee, Director, Financial Transparency and Regulatory Policy Team, The Office of Terrorist Financing and Financial Crimes (TFFC), **U.S.**

Department of the Treasury

Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, **ACAMS**

4:10PM-5:00PM

DEEP DIVE - Auditors as AML Partners, Not Pain Points

In financial institutions with audit teams, the auditors' work is often seen as a source of stress and a strain on limited time and resources. For nontraditional companies like FinTech's, audits may be seen as a mystery as well as a misery. But with preparation and teamwork, an AML audit or an independent review which is a regulatory requirement can be an opportunity to help both types of companies meet and exceed regulatory requirements.

You will learn how to:

- View the role of an AML auditor/independent review team through the lens of a partnership while maintaining appropriate levels of independence
- Help an AML group audit/independent auditor focus on the areas that will most benefit your AML program
- Work with auditors before and during a regulatory examination to ensure optimal results, identify gaps to regulators and discuss your controls

Moderator:

Daniel Wager, Managing Director, Financial Crimes Unit, **PwC**

Presenters:

James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, **PNC**

Jason Chorlins, Principal - Risk Advisory Services, **Kaufman Rossin & Co.**

4:10PM-5:00PM

DEEP DIVE - North Korea's Illicit Finance: Sanctions Evasion and Abuse of Cryptoasset Platforms

North Korea (DPRK) has repeatedly abused the international financial system, including cryptoasset platforms and providers at scale and at will. As DPRK becomes closer to Russia, how can regulators and law enforcement work with their counterparts in the financial sector to shut down the opportunities to circumvent sanctions? Sharing information on attack vectors, new research, and DeFi exploits is key to being compliant and mitigating the threat. Join us to consider how gaps in the current framework can be closed and how industry can stay compliant with expectations.

Moderator:

Alex Zerden, Former U.S. Treasury Department Official, CNAS Adjunct Senior Fellow and Founder, **Capitol Peak Strategies LLC**

Presenters:

Rachel Fiorill, Partner, National Security/Sanctions, **Morrison Foerster**

David Y. Lee, CAMS, Global Director of Screening and Sanctions, **Payoneer Inc.**

Alex O'Neill, National Security Researcher; Co-Founder and Former Co-Lead, North Korea Cyber Working Group, **Harvard Kennedy School**

4:10PM-5:00PM

AFC Academy/Enterprise: A Focused Approach to Attracting, Upskilling, Cross-training, and Retaining Talent

Join us for this ACAMS AFC Academy and Enterprise session, where we'll delve into a strategic framework designed to attract, upskill, cross-train, and retain top talent within your organization. Learn innovative approaches for nurturing a skilled workforce in today's competitive landscape.

Presenters:

Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, **ACAMS**

Jennifer L. Sanchez, AFC Solutions Consultant, **ACAMS**

5:15PM-6:15PM

Networking Reception at Portico at Diplomat Landing

Day 3

Wednesday 4/10

8:00AM-11:15AM	Registration Desk Open <i>Bags, Lanyards and Badges courtesy of AI Oasis</i>
8:00AM-11:15AM	Exhibit Hall Open
8:00AM-9:00AM	Networking Continental Breakfast in Exhibit Hall
9:00AM-9:15AM	Opening Remarks Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS
9:15AM-9:40AM	Special Presentation and Q&A with Award-Winning Journalist Oliver Bullough – Who’s Winning the War on Financial Crime? The Guardian reporter Oliver Bullough discusses his latest book, “Butler to the World: How Britain Became the Servant of Tycoons, Tax Dodgers, Kleptocrats and Criminals”, including a look at British Overseas Territories for money laundering. Focusing on the progress and push-back that has characterized the global fight against kleptocrats and other financial crooks to-date, in this fast-moving Q&A, Oliver will also talk about what still needs to be done to stem crime. Moderator: Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS Presenter: Oliver Bullough, Journalist, The Guardian

9:40AM-10:25AM

Lyin' AIs: Demonstrations of ChatGPT, Deepfakes, and Other Financial Crime Threats

"I'd never fall for that" is often a first reaction when news breaks of the latest financial scam. After this live demonstration of how criminals are using ChatGPT, deepfakes and other technology, you may not be so sure. Following the demonstrations, experts will discuss whether current regulations allow financial institutions to move at the speed of crime and how leaders are preparing for potential disruption as AI advances.

Moderator:

Craig Timm, U.S. Head of AML, **ACAMS**

Presenters:

James C. Barnacle, Chief, Financial Crimes Section, Criminal Investigative Division, **Federal Bureau of Investigation (FBI)**

Zachary Kelley, Department of Information Systems and Analytics, McCoy College of Business, **Texas State University**

Jennifer Shasky Calvery, Group Head of Financial Crime Risk and Compliance, **HSBC**

10:25AM-10:45AM

Special Presentation: From Child Labor Trafficking Survivor to Anti-trafficking Activist

Moderator:

Rick McDonell, Former Executive Secretary, Financial Action Task Force **(FATF)**, Executive Director, **ACAMS**

Presenter:

Evelyn Chumbow, Director of Operations & Survivors Leadership Program, **The Human Trafficking Legal Center**

10:45AM-11:15AM

Networking and Refreshment Break in Exhibit Hall

11:15AM-12:05PM

All the News We Couldn't Print Yet: ACAMS MLDC Presents the Latest Developments in AML/AFC

Putting together an ACAMS conference takes months of planning, but news keeps happening even after the program is developed. So this session, presented by ACAMS moneylaundering.com, is dedicated to covering everything we didn't know about when we put together the ACAMS Hollywood lineup. No matter the late-breaking topics, our all-star authoritative panelists will be able to address them – from latest legal/regulatory actions to whatever the world's fraudsters are up to now. Got questions? They've got answers. So don't miss this chance to go beyond the most recent headlines for insight and analysis you won't find anywhere else.

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Howard R. Fields, CAMS, Executive Vice President, Chief Compliance Officer, **Mastercard**

Markus E. Schulz, Global Head FCC & Global Compliance Lead KYC Enhancement Programme (EP), **ING**

John E. Smith, Co-head, **Morrison Foerster's National Security Practice**

Lisa Wheeler, Managing Director, Global Head of GFCC for the Corporate & Investment Bank (CIB) and Commercial Bank (CB), **JPMorgan Chase & Co.**

12:05PM-12:10PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**